


CERTIFICATE OF TRANSMISSION

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Jackie Tanda

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Date: September 22, 2006

Brian G. PAYTON, et al.

Confirmation No: Unknown

Serial No: 10/620,633

Group Art Unit: Unknown

Filed: 7/15/2003

Examiner: To Be Assigned

For: QUERY MODELING TOOL HAVING A DYNAMICALLY ADAPTIVE  
INTERFACE

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. §1.97

Sir:

Pursuant to 37 C.F.R. §1.97 and §1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. The Examiner is requested to make these documents of record. This Information Disclosure Statement is being submitted:

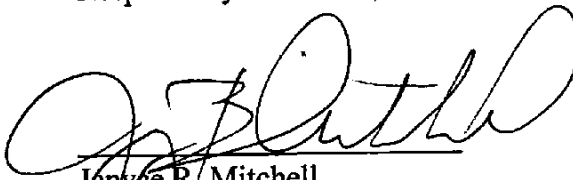
- ☐ With copies.
- ☐ Without copies. Copies of the documents were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial No. \*\*, filed \*\*. This protocol conforms with 37 C.F.R. §1.98(d) and M.P.E.P. 609 (A)(2).
- ☒ This Information Disclosure Statement is being submitted with only copies of non-U.S. patent publication(s) and non-patent literature. This protocol conforms with 37 CFR 1.98(a)(2)(i), which waives the requirement for submitting a copy of each cited U.S. Patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003.

- ☐ The documents listed on the attached Form PTO-1449 were cited in a Search Report directed to a counterpart international or foreign application.
- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☒ Within three months of the application filing date, or before mailing of a first Office Action on the merits.
- ☐ Before the mailing of a first Office action after the filing of a request for continued examination under §1.114.
- ☐ After receipt of a first Office Action on the merits, but before the mailing date of a Final Office Action under §1.113, or a Notice of Allowance §1.311. Accompanied by one of:
  - ☐ Certification under 37 CFR §1.97(e); or
  - ☐ The fee set forth in §1.17(p)
- ☐ After mailing of a final Office Action or Notice of Allowance, but on or before payment of the issue fee. Accompanied by:
  - ☐ Certification under 37 CFR §1.97(e); and
  - ☐ The fee set forth in §1.17(p)
- ☐ A Certification under 37 C.F.R. §1.97(e) is provided below:
  - ☐ I hereby certify that each item of information was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
  - or-
  - ☐ I hereby certify that no item of information was cited in a communication from a foreign patent office in a counterpart foreign application and, to the best of my knowledge after making a reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein. If any unresolved issues remain, please contact Applicant's attorney at the telephone number indicated below.

Respectfully submitted,

September 22, 2006  
Date

  
Janyce R. Mitchell  
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Substitute for form 1449/PTO

Sheet 1 of 1

Application Number

10/620,633

Filing Date

July 15, 2003

First Named Inventor

Brian G. Payton

Art Unit

Unknown

Examiner Name

To Be Assigned

Attorney Docket Number

SVL920030074US1/3794P

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages/Columns/Lines Where Relevant Passages Appear
		6,279,008	8/21/2001	Tung Ng et al.	
		6,826,557	11/30/2004	Carter et al.	
		US 2005/0076311	4/7/2005	Kusterer et al.	
		US 2003/0195880	10/16/2003	Mancinelli	
		US 2001/0034733	10/25/2001	Prompt et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country	Publication Date	Name of Patentee or Applicant of Cited Document	Pages/Columns/Lines Where Relevant Passages Appear

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

Examiner Signature

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.